Sponsored Programs Lifecycle

The 4-Stage Process From Idea to Project Close-Out

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Office of Sponsored Programs
Sponsored Projects: It’s a Team Effort!

It takes a village!
Sponsored Programs Lifecycle: The Four Stages

Project Execution & Closeout
Development & Pre-Submission
Negotiation & Acceptance
Review & Submission

OSP  SFS
ORIA  CCTEC  GC
EH&S  OVPR
Dept./College
Sponsored Project Lifecycle:
Stage 1 – Prepare to Submit

- Institutional Compliance
- Department Approval
- Submission to OSP or College Research Office

- Sponsor Guidelines & Policies
- Cornell Policies, Guidelines
- Create Proposal Package

- Develop Idea
- Identify Sponsor
- Identify Collaborators
Sponsored Project Lifecycle:
Stage 2 – Review and Submit

- Coordinate Compliance
  - FCOI, IACUC, IRB, IBC, etc.
  - Export Control
  - Risk Management

- OSP Review:
  - Statement of Work
  - Budget
  - Certifications
  - Guidelines

- Confirm Institutional Assertions
- Authorize Submission
- Submit Proposal

Review & Submission

9/27/2011
Hurry Up and WAIT…..

• Work With Sponsor on any Concerns
• Wait for Official Notification of Award or Rejection
Sponsored Project Lifecycle:  
Stage 3 – Negotiate and Accept

- Negotiate Terms
  - Terms
    - Publication Restrictions
    - Intellectual Property
    - Confidentiality, etc.
  - Scope/Cost Sharing Related to Budget Changes

- Confirm Institutional Compliance
- Accept & Distribute Award
- Issue Sub-Awards

- Formal Award Notice to OSP
- OSP Notice to PI/Department
- Review Award Terms

Negotiation & Acceptance
Project Execution & Closeout

- Final Expenditures
- Cost Sharing & Equipment
- Project Deliverables
- Set-up & Manage Audit Requests

- Set-up & Manage Account(s)
- Monitor, Correct & Report Expenses
- Monitor Compliance
- Invoice Sponsor
- Submit Reports
Office of Sponsored Programs

- http://www.osp.cornell.edu/
  - http://www.osp.cornell.edu/ProposalPrep/default.html
  - http://www.osp.cornell.edu/GovtRelLinks/default.html
  - http://www.osp.cornell.edu/ProposalPrep/inst-prof.html
  - http://www.osp.cornell.edu/Getting-Started/default.html

Office of Research Integrity and Assurance

- http://www.oria.cornell.edu/

Division of Financial Affairs

Office of Sponsored Programs – Key Contacts

Federal Government
- Christine Ashdown 607-255-3843

State & Foundations
- Thomas Frank 607-255-2943

Industry
- Robin Dollaway 607-255-5015

Sub-Awards/Sub-Contracts: Mora Harris 607-255-1050

Contract Support/Oversight: Thomas Goodness 607-255-5016

OSP Operations: Thomas Frank 607-255-2943
Questions?

http://www.osp.cornell.edu/Contacts/whoismygco.html

THANK YOU!!
Reference
Some Common Terms & Language

- What is a sponsored project?
  - Project funded by Federal, State or Local Government* or any project funded by a 3rd party (e.g. Industry, foundation) who will:
    1) Benefit directly from the project
    2) Direct or participate in project performance
    3) Establish or flow down terms and conditions for the project

- What is a gift?
  - Contribution to Cornell where donor:
    1) Does not directly benefit
    2) Does not require anything in exchange except assurance of commitment to use funds as promised

* Appropriations not included
• What is a proposal?
  • Request for funding that is submitted to an external sponsor

• Where are these funding opportunities found?
  • COS – Community of Science  http://www.cos.com/services/
  • IRIS – Illinois Research Information Service http://www.library.illinois.edu/iris/
  • Grants.gov  http://www.grants.gov/
  • The Foundation Directory  http://fconline.foundationcenter.org/
  • University Limited Submission Competition http://www.research.cornell.edu/Funding/limited_subs.htm
More Common Terms & Language

• What are some types of funding announcements/ solicitations?
  • Proposal Announcements (Unsolicited)
  • Requests for Applications (Grants, Cooperative Agmts)
  • Requests for Proposals (Contract)
  • Informal Requests for White Paper/Proposal

• Who is a Principal Investigator?
  • A full-time faculty member who meets the criteria of the PI Eligibility Policy

• What are the different types of sponsored research?
  • Basic/Fundamental Research
  • Applied Research
  • Developmental Research
• What are the various sources of authority?
  • Federal Statutes (legislated law)
  • Federal Regulations (issued by Agencies)
  • State laws and Regulations
  • Authorization and appropriation
  • Governmental directives (OMB A-21; Exec Orders, etc.)
  • University Policies/Guidelines
  • Sponsor’s terms and conditions

• What are the common types of agreements/awards?
  • Grants
  • Cooperative Agreements
  • Contracts
  • Testing